



## DECLARATION AND POWER OF ATTORNEY

I, the undersigned, named inventor, hereby declare that: my residence, post office address and citizenship are as stated below next to my name: I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HEAT ACTIVATED DURABLE STYLING COMPOSITIONS COMPRISING C<sub>1</sub> TO C<sub>7</sub> SACCHARIDE UNIT AND METHODS FOR SAME** the specification of which ☐ is attached and/or ☐ was filed on **March 30, 2001** as United States Application Serial No. \_\_\_\_\_ or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

| Country | Application Number | Date of Filing | Priority Claimed Under 35 U.S.C. 119                     |
|---------|--------------------|----------------|--|
|         |                    |                | <input type="checkbox"/> YES <input type="checkbox"/> NO |
|         |                    |                | <input type="checkbox"/> YES <input type="checkbox"/> NO |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| Application Number | Date of Filing |
|--------------------|----------------|
|                    |                |
|                    |                |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

| Application Number | Date of Filing | Status (Patented, Pending, Abandoned) |
|--------------------|----------------|---------------------------------------|
|                    |                |                                       |

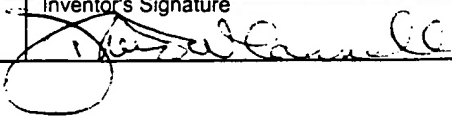
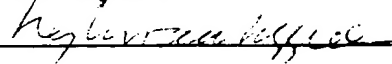
I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**, **CUSTOMER NUMBER 22,852**, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; James B. Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; and David A. Manspeizer, Reg. No. 37,540 and **Thalia V. Warnement, Reg. No. 39,064; Michele C. Bosch, Reg. No. 40,524; and Mark D. Sweet, Reg. No. 41,469.** Please address all correspondence to **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.** 1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

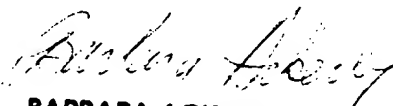
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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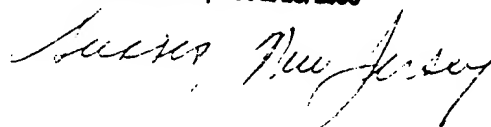
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|   |  |                              |
|---|--|------------------------------|
| Full Name of First Inventor<br><b>David W. CANNELL</b>    | Inventor's Signature<br> | Date<br>4-13-01              |
| Residence<br>1314 Highland Avenue<br>Plainfield, NJ 07060 |  | Citizenship<br>United States |
| Post Office Address<br>Same as residence                  |  |                              |
| Full Name of Second Inventor<br><b>Nghi VAN NGUYEN</b>    | Inventor's Signature<br> | Date<br>4/13/01              |
| Residence<br>8 Churchill Road<br>Edison, NJ 08820         |  | Citizenship<br>United States |
| Post Office Address<br>Same as residence                  |  |                              |



**BARBARA ACKERLY**  
**NOTARY PUBLIC OF NEW JERSEY**  
Commission Expires 2/26/2006





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PATENT

Customer No.: 22,852

Attorney Docket No. 05725.0843-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**David W. CANNELL et al.** )  
)  
Application No.: 09/821,648 ) Group Art Unit: 1751  
)  
Filed: March 30, 2001 ) Examiner: Unassigned  
)  
For: HEAT ACTIVATED DURABLE )  
STYLING COMPOSITIONS )  
COMPRISING C<sub>5</sub> TO C<sub>7</sub> )  
SACCHARIDE UNIT AND )  
METHODS FOR SAME )

SUBMISSION OF ASSIGNMENT OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention: Box Assignments**

Sir:

Applicant hereby submits the executed Assignment in the above-identified application from **David W. CANNELL** and **Nghi Van NGUYEN**, assigning their rights in the invention to **L'ORÉAL**. Please record the Assignment and return it to the undersigned.

The required \$40.00 recordation fee is enclosed.

Please charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

Mark D. Sweet  
Reg. No. 41,469

Dated: July 23, 2001



RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Atty. Docket No.: 05725.0843-00000  
Atty. Customer No. 22,852

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TC 1700

ATTN. BOX ASSIGNMENTS

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David W. CANNELL and Nghi Van NGUYEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: L'Oréal S.A.

Internal Address:

Street Address: 14, rue Royale  
75008 Paris, France

City:

State: ZIP:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

Other

Execution Date: April 13, 2001

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/821,648

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas L. Irving

Internal Address: FINNEGAN, HENDERSON,

FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, NW

City: Washington, D.C.

State: ZIP: 20005

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Deposit Account

8. Deposit Account Number: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark D. Sweet - Reg. No. 41,469  
Name of Person Signing

Signature

July 23, 2001  
Date

Total number of pages including cover sheet, attachments and document: 4

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

### **HEAT ACTIVATED DURABLE STYLING COMPOSITIONS COMPRISING AMINATED C<sub>5</sub> TO C<sub>7</sub> SACCHARIDE UNIT AND METHODS FOR SAME**

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on March 30, 2001 (Serial No. 001/000,000 to be filled in by Applicants' representative when known)); and

WHEREAS, **L'ORÉAL SA**, a corporation of **France** whose post office address is **14, rue Royale, 75008 Paris, France** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof, and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of Sussex )

State of New Jersey )

ss.

**David W. CANNELL**

1314 Highland Avenue

Plainfield, NJ 07060 USA

*David W. Cannell*  
**FIRST ASSIGNOR'S SIGNATURE**

4-13-01

**DATE**

Subscribed and sworn to before me this 13 day of April, 2001.

*Barbara Ackley*, Notary Public

County of Sussex )

State of New Jersey )

ss.

**Nghi Van NGUYEN**

8 Churchill Road

Edison, NJ 08820 USA

*Nghi Van Nguyen*  
**SECOND ASSIGNOR'S SIGNATURE**

4/13/01

**DATE**

Subscribed and sworn to before me this 13 day of April, 2001.

*Barbara Ackley*, Notary Public